

A Contribution to Best Approximation in the L^2 Norm

A. R. REDDY

*Department of Mathematics, University of Missouri, St. Louis, Missouri 63121, and
Department of Mathematics, University of Toledo, Toledo, Ohio 43606*

Communicated by Oved Shisha

DEDICATED TO PROFESSOR ROBERT F. JACKSON ON HIS 65TH BIRTHDAY

The set of all functions $f(Z)$ which are holomorphic in the open unit disk B and for which

$$\int_B \int |f(z)|^2 dx dy < \infty,$$

will be denoted by $L^2(B)$.

Set, for any $f \in L^2(B)$, and for $n = 0, 1, \dots$,

$$\Delta_n(f) = \min_{a_i} \left[\iint_B |f(Z) - a_0 - a_1 Z - \dots - a_n Z^n|^2 dx dy \right]^{1/2}. \quad (1)$$

Let $f(x)$ be a real continuous function on $[-1, 1]$ and $\omega(x)$ a real non-negative Riemann integrable function on $[-1, 1]$; then set

$$E_n^{(2)}(f, \omega) = E_n^{(2)}(f) = \min_{p \in \pi_n} \left[\int_{-1}^1 |f(x) - p(x)|^2 \omega(x) dx \right]^{1/2},$$

$n = 0, 1, 2, \dots, \quad (2)$

where π_n denotes the class of all real polynomials of degree at most n . Further, let

$$E_n(f) = \min_{p \in \pi_n} \|f(x) - p(x)\|, \quad n = 0, 1, \dots, \quad (3)$$

where $\| \cdot \|$ is the uniform norm on $[-1, 1]$.

Recently, it has been studied [4] how $E_n(f)$, for f which is a restriction of an entire function, is related to the order and type of the function. In [5], we have investigated how the Taylor coefficients of an entire function are related to the error in best approximations (as in (3)). In [6], we have discussed how $E_n(f)$ is related to $M_n(f) \equiv \max_{-1 \leq x \leq 1} |f^{(n)}(x)|$ for entire functions.

We are here interested in knowing how $\Delta_n(f)$ is related to the order and type of f , assumed to be entire. We also investigate how $\Delta_n(f)$ is related to the $(n + 1)$ st coefficient of the Taylor expansion of f . Further, we show how $E_n^{(2)}(f)$ is related to the order and type of the entire function. Finally, we obtain an asymptotic relation between $E_n(f)$ and $E_n^{(2)}(f)$ for a sequence of values of n .

THEOREM 1. *A necessary and sufficient condition for $f(Z) \in L^2(B)$ to be an entire function is that*

$$\lim_{n \rightarrow \infty} [\Delta_n(f)]^{1/n} = 0. \tag{6}$$

Proof. Let $f(Z) = \sum_{k=0}^{\infty} a_k Z^k \in L^2(B)$; it is known [3, p. 333] that

$$[\Delta_n(f)]^2 = \sum_{k=n+1}^{\infty} \frac{\pi}{k+1} |a_k|^2. \tag{7}$$

Now let us suppose that $f(Z)$ is entire; then

$$\lim_{k \rightarrow \infty} |a_k|^{1/k} = 0. \tag{8}$$

One has from (7) and (8), for any $\epsilon > 0$ and for all $n \geq n_0(\epsilon)$, (9)

$$\begin{aligned} [\Delta_n(f)]^2 &\leq \sum_{k=n+1}^{\infty} \frac{\pi}{k+1} \epsilon^{2k} \\ &< \frac{\pi \epsilon^{2(n+1)}}{(n+2) \cdot (1 - \epsilon^2)}, \end{aligned} \tag{10}$$

ϵ being arbitrary, (6) follows from (10).

If (6) is true, then since

$$[\Delta_n(f)]^2 \geq \frac{\pi}{(n+2)} |a_{n+1}|^2, \tag{11}$$

we have $\lim_{k \rightarrow \infty} |a_k|^{1/k} = 0$, and hence f is entire.

Remark. The first part of the theorem has been stated without proof in [3, p. 334].

THEOREM 2. *If $f(Z) \in L^2(B)$, then $f(Z)$ is an entire function of order ρ ($0 < \rho < \infty$) if, and only if,*

$$\limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/\Delta_n(f)]} = \rho. \tag{12}$$

Proof. Assume (12). Then (6) follows, and, hence, f is an entire function. Suppose that $f(Z) = \sum_{j=0}^{\infty} a_j z^j$ is of order α . Then [2, p. 9]

$$\alpha = \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/|a_n|]}. \quad (13)$$

Given any $\epsilon > 0$, we have from (13) for all $k \geq k_0(\epsilon)$,

$$|a_k| \leq k^{-k/(\alpha+\epsilon)}. \quad (14)$$

One obtains from (7) and (14) with a little manipulation:

$$\begin{aligned} [\Delta_n(f)]^2 &= \sum_{k=n+1}^{\infty} \frac{\pi}{(k+1)} |a_k|^2 \leq \frac{\pi}{(n+2)} \sum_{k=n+1}^{\infty} \frac{1}{k^{2k/(\alpha+\epsilon)}} \\ &\leq \frac{\pi}{(n+2)} \frac{1}{(n+1)^{2(n+1)/(\alpha+\epsilon)}} \left[\sum_{j=0}^{\infty} (n+2)^{-2j/(\alpha+\epsilon)} \right] \\ &\leq \frac{\pi}{(n+1)^{2(n+1)/(\alpha+\epsilon)}} [1 - (n+2)^{-2/(\alpha+\epsilon)}]^{-1}. \end{aligned} \quad (15)$$

ϵ being arbitrary, we have, from (12) and (15),

$$\rho = \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/\Delta_n(f)]} \leq \alpha.$$

From (7) and (13)

$$\rho = \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/\Delta_n(f)]} \geq \limsup_{n \rightarrow \infty} \frac{n \log n}{\log|1/a_n|} = \alpha,$$

hence $\rho = \alpha$.

The converse part of the theorem can again be derived from (7) and (13).

THEOREM 3. *If $f \in L^2(B)$, then $f(Z)$ is an entire function of order ρ ($0 < \rho < \infty$) and type τ ($0 < \tau < \infty$) if and only if*

$$\limsup_{n \rightarrow \infty} \frac{n}{\rho e} [\Delta_n(f)]^{\rho/n} = \tau. \quad (16)$$

Proof. (16) implies (12) which, in turn, implies that $f(Z)$ is an entire function of order ρ . It is known [2, p. 8] that the type of f is

$$\beta = \limsup_{n \rightarrow \infty} \frac{n}{\rho e} |a_n|^{\rho/n} (0 < \beta < \infty). \quad (17)$$

From (17) we have, for all $k \geq k_0(\epsilon)$,

$$|a_k| \leq \frac{(\rho e(\beta + \epsilon))^{k/\rho}}{k}. \tag{18}$$

From (7) and (18) we can deduce, as in the proof of Theorem 2, that

$$\tau = \limsup_{n \rightarrow \infty} \frac{n}{\rho e} [\Delta_n(f)]^{\rho/n} \leq \beta.$$

Also, from (11) and (17)

$$\tau = \limsup_{n \rightarrow \infty} \frac{n}{\rho e} [\Delta_n(f)]^{\rho/n} \geq \limsup_{n \rightarrow \infty} \frac{n}{\rho e} |a_n|^{\rho/n} = \beta.$$

Hence $\tau = \beta$.

If $f(Z)$ is entire of order ρ ($0 < \rho < \infty$) and type τ ($0 < \tau < \infty$), then we can show easily, using (7) and (18), that (16) holds.

THEOREM 4. *Let $f(Z) = \sum_{k=0}^{\infty} a_k Z^k$ be an entire transcendental function. Then there exists a sequence of integers $0 \leq n_1 < n_2 < \dots < n_p < \dots$ for which all a_{n_p+1} are $\neq 0$ and*

$$\lim_{p \rightarrow \infty} \frac{(n_p + 2)^{1/2} \Delta_{n_p}(f)}{|a_{n_p+1}|} = \pi^{1/2}. \tag{19}$$

Proof. Since $f(Z)$ is entire,

$$\lim_{k \rightarrow \infty} |a_k|^{1/k} = 0. \tag{20}$$

Let $(\epsilon_p)_{p=1}^{\infty}$ be an arbitrary sequence of positive numbers < 1 , with $\epsilon_p \rightarrow 0$. There are [3a, pp. 128–129], for $p = 1, 2, \dots$, infinitely many integers $n_p \geq 0$ for which

$$|a_{n_p+j}| \leq |a_{n_p+1}| \epsilon_p^{j-1} \quad (j = 1, 2, \dots). \tag{21}$$

For each $p \geq 1$ we choose such an n_p so that $0 \leq n_1 < n_2 < \dots$. Then, for every $p \geq 1$, by (7),

$$\begin{aligned} \left(\frac{\pi}{n_p + 2}\right)^{1/2} |a_{n_p+1}| &< \Delta_{n_p}(f) < \left(\frac{\pi}{n_p + 2}\right)^{1/2} \left[\sum_{j=1}^{\infty} |a_{n_p+j}|^2 \right]^{1/2} \\ &\leq \frac{|a_{n_p+1}| \pi^{1/2}}{(n_p + 2)^{1/2}} \left(\sum_{j=0}^{\infty} \epsilon_p^{2j} \right)^{1/2} = \frac{|a_{n_p+1}| \pi^{1/2}}{(n_p + 2)^{1/2} (1 - \epsilon_p^2)^{1/2}} \end{aligned} \tag{22}$$

from which (19) follows.

THEOREM 5. *Let $f(x)$ be a real continuous function on $[-1, 1]$. A necessary and sufficient condition for $f(x)$ to be the restriction to $[-1, 1]$ of an entire function is that*

$$\lim_{n \rightarrow \infty} [E_n^{(2)}(f)]^{1/n} = 0. \quad (23)$$

Proof. Let $A_0/2 + \sum_{k=1}^{\infty} A_k T_k(x)$ be the Fourier-Chebyshev series of $f(x)$. Then

$$[E_n^{(2)}(f)]^2 = \frac{\pi}{2} \sum_{k=n+1}^{\infty} A_k^2. \quad (24)$$

Further, it is known [1, p. 111] that

$$|A_{n+1}| + |A_{n+2}| + |A_{n+3}| + \dots \geq E_n \geq [\frac{1}{2}(A_{n+1}^2 + A_{n+2}^2 + \dots)]^{1/2}; \quad (25)$$

hence,

$$\pi E_n^2 \geq [E_n^{(2)}]^2. \quad (26)$$

If $f(x)$ is the restriction to $[-1, 1]$ of an entire function, then [1, p. 111]

$$\lim_{n \rightarrow \infty} E_n^{1/n} = 0, \quad (27)$$

which implies (23).

On the other hand, if $\lim_{n \rightarrow \infty} [E_n^{(2)}]^{1/n} = 0$, then from (24) we have

$$\lim_{k \rightarrow \infty} |A_k|^{1/k} = 0, \quad (29)$$

which implies, by (25), that $\lim_{n \rightarrow \infty} [E_n(f)]^{1/n} = 0$; this, in turn, implies that $f(x)$ is the restriction to $[-1, 1]$ of an entire function [1, p. 113].

THEOREM 6. *If $f(x)$ is a real continuous function on $[-1, 1]$, then it is the restriction to $[-1, 1]$ of an entire function of order ρ ($0 < \rho < \infty$) if and only if*

$$\limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n^{(2)}]} = \rho. \quad (30)$$

Proof. (30) implies (23), namely, that $f(x)$ is the restriction to $[-1, 1]$ of an entire function, say of order α . Now it follows from (26) and Theorem 1 of [7] that

$$\alpha = \limsup_{n \rightarrow \infty} \frac{n \log n}{[\log 1/E_n(f)]} \geq \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n^{(2)}]} = \rho. \quad (31)$$

From (30) and (24), for a given $\epsilon > 0$ and for all sufficiently large n ,

$$\frac{\pi}{2} A_{n+1}^2 \leq [E_n^{(2)}]^2 \leq n^{-2n/(\rho+\epsilon)}. \quad (32)$$

Now it follows, with a little manipulation, from (25) and (32), that

$$\alpha = \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n(f)]} \leq \rho, \quad (33)$$

hence, $\alpha = \rho$. Conversely, suppose $f(x)$ is the restriction to $[-1, 1]$ of an entire function of order ρ . Then we have from (26) and Theorem 1 of [7],

$$\rho = \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n(f)]} \geq \limsup_{n \rightarrow \infty} \frac{n \log n}{\log[1/E_n^{(2)}]}. \quad (34)$$

As in the first part of the proof we obtain also the reverse inequality for ρ . Hence (30).

THEOREM 7. *Let $f(x)$ be a real continuous function on $[-1, 1]$. A necessary and sufficient condition for $f(x)$ to be the restriction to $[-1, 1]$ of an entire function of order ρ ($0 < \rho < \infty$) and type τ ($0 < \tau < \infty$) is that*

$$\limsup_{n \rightarrow \infty} \frac{n}{\rho e} [E_n^{(2)}]^{\rho/n} = \frac{\tau}{2^\rho}. \quad (35)$$

Proof. If $f(x)$ is the restriction to $[-1, 1]$ of an entire function of order ρ ($0 < \rho < \infty$) and type τ ($0 < \tau < \infty$), then, as is known [4, Theorem 3]

$$\limsup_{n \rightarrow \infty} \frac{n}{\rho e} [E_n(f)]^{\rho/n} = \frac{\tau}{2^\rho}. \quad (36)$$

One has from (26) and (36),

$$\frac{\tau}{2^\rho} \geq \limsup_{n \rightarrow \infty} \frac{n}{\rho e} [E_n^{(2)}]^{\rho/n}. \quad (37)$$

One can prove the reverse inequality for $\tau/2^\rho$ as in the proof of Theorem 6. We omit the proof of the second half of the theorem.

THEOREM 8. *If $A_0/2 + A_1T_1(x) + A_2T_2(x) + \dots + A_nT_n(x) + \dots$ is the Fourier–Chebyshev series expansion of a real function $f(x)$, defined on $[-1, 1]$, which is the restriction of an entire transcendental function, then there exists a*

sequence of integers $0 \leq n_1 < n_2 < \dots < n_p < \dots$ for which all A_{n_p+1} are $\neq 0$ and

$$\lim_{p \rightarrow \infty} \frac{\sqrt{2} E_{n_p}^{(2)}}{|A_{n_p+1}|} = \pi^{1/2}. \quad (38)$$

Proof. The proof follows the same lines as that of Theorem 4, with the difference that instead of using (7) and (20) we use (24) and (29).

THEOREM 9. *If $f(x)$ is the restriction to $[-1, 1]$ of an entire function, then there exists a sequence of integers $0 \leq n_1 < n_2 < \dots < n_p < \dots$ for which*

$$\sqrt{2} E_{n_p}^{(2)} \sim \pi^{1/2} E_{n_p}. \quad (39)$$

Proof. We know from Theorem 8 that for some such sequence $(n_p)_{p=1}^{\infty}$,

$$\sqrt{2} E_{n_p}^{(2)} \sim \pi^{1/2} |A_{n_p+1}|. \quad (40)$$

It is also known [1, (167), p. 115] that for some such sequence $(n_p')_{p=1}^{\infty}$,

$$E_{n_p'} \sim |A_{n_p'+1}|. \quad (41)$$

If we can show that (40) and (41) hold for the same sequence, then (39) will follow. In proving (40), we used the fact that

$$\lim_{k \rightarrow \infty} |A_k|^{1/k} = 0, \quad (29)$$

from which follows that, given $\epsilon > 0$, for infinitely many integers $n \geq 0$ we have

$$|A_{n+j}| \leq |A_{n+1}| \epsilon^{j-1} \quad (j = 1, 2, \dots). \quad (42)$$

Bernstein used [1, (168), p. 115] only (29) and (42) to prove (41), hence one can choose $n_p \equiv n_p'$.

REFERENCES

1. S. N. BERNSTEIN, "Leçons sur les propriétés extrémales et la meilleure approximation des fonctions analytiques d'une variable réelle," Gauthiers-Villars, Paris, 1926; Chelsea, New York, 1970.
2. R. P. BOAS, "Entire Functions," Academic Press, New York, 1954.
3. P. J. DAVIS, "Interpolation and Approximation," 2nd ed., Blaisdell Publishing Company, New York, 1965.
- 3a. P. ERDÖS AND A. RÉNYI, On the number of zeros of successive derivatives of analytic functions, *Acta Math. Acad. Sci. Hungar.* 7 (1956), 125-144.

4. A. R. REDDY, Approximation of an entire function, *J. Approximation Theory* **3** (1970), 128–137.
5. A. R. REDDY, Best polynomial approximation to certain entire functions; *J. Approximation Theory* **5** (1972), 97–112.
6. A. R. REDDY, Best polynomial approximation to certain entire functions II, to appear.
7. R. S. VARGA, On an extension of a result of S. N. Bernstein, *J. Approximation Theory* **1** (1968), 176–179.